



Business Vantage Account Opening Form

- Note:**
- a. Please complete in **Block Letters** and tick where applicable.
 - b. Information with shading must be completed.
 - c. Please note that no chop needs to be or should be affixed to any part of this form, and even if so affixed, will not form part of the signing authority or mandate under any circumstances.

Date	day / month / year

Account Number

I. Company and Account Information

(A201)

Company Information									
Registration/Incorporation Document	Type: Certificate of Incorporation (C) (Number: _____) Business Registration Certificate (B) (Number: _____) Other (X) (Number: _____)								
Date of Registration	<table border="1" style="width: 100%;"> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">Day</td> <td style="text-align: center;">Month</td> <td style="text-align: center;">Year</td> </tr> </table> <table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Country of Registration</td> <td style="width: 50%;"></td> </tr> </table>	_____	_____	_____	Day	Month	Year	Country of Registration	
_____	_____	_____							
Day	Month	Year							
Country of Registration									
Registered Name in English									
Registered Name in Chinese									
Entity Type	<input type="checkbox"/> Limited Company <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Other:								
Type of Issued Shares (for Limited Company only)	<input type="checkbox"/> Registered Shares <input type="checkbox"/> Bearer Shares								
Name of Parent Company (if any)									
Date Business Commenced	<table border="1" style="width: 100%;"> <tr> <td style="text-align: center;">_____</td> <td style="text-align: center;">_____</td> </tr> <tr> <td style="text-align: center;">Month</td> <td style="text-align: center;">Year</td> </tr> </table> <table border="1" style="width: 100%;"> <tr> <td style="width: 50%;">Country Where Head Office is Located</td> <td style="width: 50%;"></td> </tr> </table>	_____	_____	Month	Year	Country Where Head Office is Located			
_____	_____								
Month	Year								
Country Where Head Office is Located									
Country Where Major Business is Carried Out									
Number of Employees	In Hong Kong _____ In Mainland China _____ In Other Area _____								
Annual Business Turnover	Currency: _____ Amount: _____								
Nature of Business/Industry	Industry: _____ (_____ %) Industry: _____ (_____ %)								
Nature of Products/Services Offered (e.g. toys/garments)									

Business Information	
Sales Location(s) (e.g. from China to USA)	Years of Experience in Business
Reason(s) for Setting Up Account in Hong Kong	(If company does not have regular business presence, e.g. office, staff in Hong Kong)
Purpose of Opening Account	<input type="checkbox"/> Savings/Fixed Deposit <input type="checkbox"/> Loan Repayment <input type="checkbox"/> Investment <input type="checkbox"/> Intercompany Settlement <input type="checkbox"/> Other:
Expected Source of Funds Passing Through the Account	<input type="checkbox"/> From Business Owner <input type="checkbox"/> Return on Investment <input type="checkbox"/> Sale Proceeds <input type="checkbox"/> Other:
Country of Source of Funds	
Expected Account Turnover and No. of Transactions per Year	Currency: _____ Amount: _____ No. of Transactions: _____

BBF001R35E-m (280708) FI

>> ASV-NSC

I. Company and Account Information (Continued)

(A201)

Contact Information	
Business Address ● Room/Flat/Floor/Block ● Name of Building ● Name of Estate ● Number and Name of Street/Road ● District ● Country and Postal Code <i>- For Overseas Address Only</i>	<input type="checkbox"/> Room <input type="checkbox"/> Flat _____ Floor _____ Block _____ _____ _____ _____ <input type="checkbox"/> Hong Kong <input type="checkbox"/> Kowloon <input type="checkbox"/> New Territories _____
Correspondence Address	<input type="checkbox"/> Same as above <input type="checkbox"/> Other Address: _____
Office Telephone Number	1. _____ 2. _____
Office Fax Number	1. _____ 2. _____
Email Address	_____
Details of Contact Person(s) <i>(Who would you like us to speak to if we need to contact the business)</i>	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms Name English: _____ Chinese: _____ Telephone Number Job Title Business Email Address (if any)
	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms Name English: _____ Chinese: _____ Telephone Number Job Title Business Email Address (if any)
	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms Name English: _____ Chinese: _____ Telephone Number Job Title Business Email Address (if any)
	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms Name English: _____ Chinese: _____ Telephone Number Job Title Business Email Address (if any)

II. Business Account Mandate - Signing and Borrowing Arrangement for Sole Proprietorship or Partnership

Signing Arrangement	<input type="checkbox"/> By myself (for Sole Proprietorship) <input type="checkbox"/> By any one of us (for Partnership) <input type="checkbox"/> Other Arrangement, please specify: _____
Borrowing Arrangement	<input type="checkbox"/> By myself (for Sole Proprietorship) <input type="checkbox"/> By any one of us (for Partnership) <input type="checkbox"/> Other Arrangement, please specify: _____

III. Optional Services/Facilities *(To be completed only if the following services/facilities are required)*

<input type="checkbox"/> Business Card	
Note	Please complete the "Business Card Programme Application Form".
<input type="checkbox"/> BusinessVantage Secured Credit	(H001)
Note	1. The granting of the credit facility shall be at the sole discretion of the Bank. 2. The facility account will be the BusinessVantage Current Account.
<input type="checkbox"/> Cheque Book	(A83)
Cheque Book	Please mail to me/us _____ Cheque Book(s).
<input type="checkbox"/> Phonebanking	(J001)
Phonebanking Advice	<input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly

BBP001R35E-m (280708) FI

III. Optional Services/Facilities (To be completed only if the following services/facilities are required) (Continued)

<input type="checkbox"/> BusinessVantage Investment Account		<i>(A201/S111/S121/S122)</i>
Settlement Account	Settlement amounts, fees, commissions, dividends and other securities/unit trusts related transactions to be credited to/debited from <input type="checkbox"/> BusinessVantage Savings <input type="checkbox"/> BusinessVantage Current Note: The settlement account will be the BusinessVantage Current Account if you have applied for secured credit facility.	
Investment Questionnaire	1. Types of product(s) you have previously invested in (<i>Please choose one or more</i>) <input type="checkbox"/> Bonds/CDs <input type="checkbox"/> Stocks <input type="checkbox"/> Unit Trusts <input type="checkbox"/> None <input type="checkbox"/> Others (<i>please specify</i>):	
	2. The Company's years of Investment Experience <input type="checkbox"/> More than 10 years <input type="checkbox"/> More than 5 years - 10 years <input type="checkbox"/> 1 - 5 years <input type="checkbox"/> Less than 1 year	
	3. What are your Investment Objectives? (<i>You may select more than one answer</i>) <input type="checkbox"/> Potential return higher than deposit <input type="checkbox"/> Asset Accumulation <input type="checkbox"/> Cash Management <input type="checkbox"/> Investment Trading	
	4. The Company's estimated Liquid Assets <input type="checkbox"/> Less than or equal to HKD500,000 <input type="checkbox"/> HKD500,001 to HKD1,000,000 <input type="checkbox"/> HKD1,000,001 to HKD5,000,000 <input type="checkbox"/> HKD5,000,001 or above	
	5. How much of the Company's Liquid Assets does the Company intend to invest? <input type="checkbox"/> More than 75% <input type="checkbox"/> More than 50% - 75% <input type="checkbox"/> More than 25% - 50% <input type="checkbox"/> 1% - 25% Note: The Hongkong and Shanghai Banking Corporation Limited may use the information collected here for marketing financial services.	

<input type="checkbox"/> Business Internet Banking		<i>(A511)</i>																					
Note	All existing and future accounts will automatically be added to your Business Internet Banking portfolio, up to a maximum of 50 accounts.																						
Management Control	Administration of access control and transaction limits within Business Internet Banking. <input type="checkbox"/> One Primary User <input type="checkbox"/> Two Primary Users jointly																						
Service Options	To view all the services available in Business Internet Banking, please visit http://www.commercial.hsbc.com.hk/1/2/bibservices . Please specify your Daily Transaction Limit in the below table:																						
	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 40%;">Daily Transaction Limit</th> <th style="width: 20%;">Maximum Daily Limit Set by HSBC (HKD)</th> <th style="width: 40%;">Requested Daily Transaction Limit <i>(If this is left blank, the limit will be set at zero.)</i></th> </tr> </thead> <tbody> <tr> <td>Internal Transfers</td> <td style="text-align: center;">10,000,000</td> <td></td> </tr> <tr> <td>Third Party Payments</td> <td></td> <td></td> </tr> <tr> <td style="padding-left: 20px;">Designated Beneficiaries</td> <td style="text-align: center;">5,000,000</td> <td></td> </tr> <tr> <td style="padding-left: 20px;">Other Beneficiaries</td> <td style="text-align: center;">500,000</td> <td></td> </tr> <tr> <td>Bill Payments</td> <td style="text-align: center;">1,000,000</td> <td></td> </tr> <tr> <td>autoPay</td> <td style="text-align: center;">2,000,000</td> <td></td> </tr> </tbody> </table>		Daily Transaction Limit	Maximum Daily Limit Set by HSBC (HKD)	Requested Daily Transaction Limit <i>(If this is left blank, the limit will be set at zero.)</i>	Internal Transfers	10,000,000		Third Party Payments			Designated Beneficiaries	5,000,000		Other Beneficiaries	500,000		Bill Payments	1,000,000		autoPay	2,000,000	
	Daily Transaction Limit	Maximum Daily Limit Set by HSBC (HKD)	Requested Daily Transaction Limit <i>(If this is left blank, the limit will be set at zero.)</i>																				
	Internal Transfers	10,000,000																					
	Third Party Payments																						
Designated Beneficiaries	5,000,000																						
Other Beneficiaries	500,000																						
Bill Payments	1,000,000																						
autoPay	2,000,000																						

<input type="checkbox"/> ATM and Deposit Card																									
Note	1. The combined maximum number of BusinessVantage ATM Cards, Company ATM Cards and Company Deposit Cards is six. 2. A maximum of three BusinessVantage ATM Cards can be issued. 3. Apart from BusinessVantage Current Account and BusinessVantage Savings Account, a third account held and designated by the BusinessVantage Account Holder (with the same business identification number) can be added to each BusinessVantage ATM Card / Company ATM Card / Company Deposit Card.																								
Card Type	<input type="checkbox"/> BusinessVantage ATM Card (<i>Please complete Section IV if applying for BusinessVantage ATM Card</i>)																								
	<input type="checkbox"/> Company ATM Card (<i>Please complete Section IV if applying for Company ATM Card</i>)																								
	<input type="checkbox"/> Company Deposit Card																								
	<table style="width:100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">No. of Cards Required</td> <td>Screen Instructions Language: <input type="checkbox"/> English <input type="checkbox"/> Chinese</td> </tr> <tr> <td colspan="2" style="text-align: center;">Note: All cards will display the same language on screen when inserted into an ATM. You can change the language at the ATM if required.</td> </tr> <tr> <td colspan="2">Full Name of Mailing Receiver: <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms</td> </tr> <tr> <td colspan="2">Surname _____ Given Name _____</td> </tr> <tr> <td colspan="2">Note: The card(s) will be mailed to your Company's correspondence address.</td> </tr> </table>	No. of Cards Required	Screen Instructions Language: <input type="checkbox"/> English <input type="checkbox"/> Chinese	Note: All cards will display the same language on screen when inserted into an ATM. You can change the language at the ATM if required.		Full Name of Mailing Receiver: <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms		Surname _____ Given Name _____		Note: The card(s) will be mailed to your Company's correspondence address.															
	No. of Cards Required	Screen Instructions Language: <input type="checkbox"/> English <input type="checkbox"/> Chinese																							
Note: All cards will display the same language on screen when inserted into an ATM. You can change the language at the ATM if required.																									
Full Name of Mailing Receiver: <input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms																									
Surname _____ Given Name _____																									
Note: The card(s) will be mailed to your Company's correspondence address.																									
<table style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 30%;">Card</th> <th style="width: 30%;">Third Account Number</th> <th style="width: 30%;">Card</th> <th style="width: 30%;">Third Account Number</th> </tr> </thead> <tbody> <tr> <td>1.</td> <td>_____</td> <td>2.</td> <td>_____</td> </tr> <tr> <td></td> <td></td> <td>3.</td> <td>_____</td> </tr> <tr> <td></td> <td></td> <td>4.</td> <td>_____</td> </tr> <tr> <td></td> <td></td> <td>5.</td> <td>_____</td> </tr> <tr> <td></td> <td></td> <td>6.</td> <td>_____</td> </tr> </tbody> </table> <p><input type="checkbox"/> Please tick here should you wish to include this account on all your Company Deposit Card(s).</p>		Card	Third Account Number	Card	Third Account Number	1.	_____	2.	_____			3.	_____			4.	_____			5.	_____			6.	_____
Card	Third Account Number	Card	Third Account Number																						
1.	_____	2.	_____																						
		3.	_____																						
		4.	_____																						
		5.	_____																						
		6.	_____																						

III. Optional Services/Facilities (To be completed only if the following services/facilities are required) (Continued)

<input type="checkbox"/> Direct Advice	
Note	Please visit http://www.commercial.hsbc.com.hk/1/2/directadvice to view the range of services covered by Direct Advice.
Delivery Option and Details	<p>Please select one of the following delivery options:</p> <p><input type="checkbox"/> eMail Please specify your email address: <input type="checkbox"/> Same as Section I <input type="checkbox"/> Other _____</p> <p><input type="checkbox"/> Fax Please specify your fax number: <input type="checkbox"/> Same as Section I Please specify the preferred fax number if two fax numbers have been provided: <input type="checkbox"/> Fax Number 1 <input type="checkbox"/> Fax Number 2 <input type="checkbox"/> Other _____</p> <p>Note: 1. To access the Direct Advice through email, you will need a Personal Identification Number (PIN), which will be sent to your Company's correspondence address. 2. Both credit and debit advices will be issued regularly during the day for processed transactions. A Direct Advice Summary will also be issued at the end of the working day. Please complete the "Direct Advice Service Registration Form" for different advice types or delivery schedules.</p>

IV. Authorised Users Information for Phonebanking/Business Internet Banking/BusinessVantage ATM Card/Company ATM Card

1.

Full Name in English	<input type="checkbox"/> Mr <input type="checkbox"/> Mrs <input type="checkbox"/> Miss <input type="checkbox"/> Ms Surname _____ Given Name _____										
Identification Document Details	Type: <input type="checkbox"/> Hong Kong Identity Card <input type="checkbox"/> Passport <input type="checkbox"/> Other _____ Number: _____										
Contact Telephone Number											
Email Address											
ATM Card/Phonebanking PIN to be collected at	<input type="checkbox"/> Account Opening Branch <input type="checkbox"/> Other Branch: _____										
Service Options	<input type="checkbox"/> Phonebanking <table border="1" style="width: 100%;"> <thead> <tr> <th style="text-align: left;">Entitlement <i>(Please choose one option only)</i></th> <th style="text-align: left;">Service Options</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/> Full Functions</td> <td>Requested Daily Transfer Limit for non-registered HSBC Third Party Fund Transfers HKD _____</td> </tr> <tr> <td rowspan="2"><input type="checkbox"/> Full Enquiry Functions and Selected Phonebanking Services</td> <td><input type="checkbox"/> All Self-named and Future Accounts Fund Transfers (Requested Daily Transfer Limit HKD _____)</td> </tr> <tr> <td><input type="checkbox"/> Investment Trading Services</td> </tr> <tr> <td rowspan="2"><input type="checkbox"/> Enquiry Function Only</td> <td><input type="checkbox"/> Non-registered HSBC Third Party Fund Transfers (Requested Daily Transfer Limit HKD _____)</td> </tr> <tr> <td></td> </tr> </tbody> </table> <p>Note: 1. The maximum number of authorised Phonebanking users is nine. 2. Full functions include fund transfer function (<i>All self-named and future accounts will be automatically added and the daily transfer limit is up to the available balance by default. The maximum daily transfer limit to non-registered HSBC third party accounts is HKD50,000, and a zero limit will be applied if no limit is specified</i>), enquiry function and investment trading services. 3. The enquiry function includes account and remittance enquiries, and statement requests for all self-named and future accounts. 4. Please complete "Business/BusinessVantage Phonebanking Service Special Instructions/Cancellation Request Form" for additional Phonebanking services.</p>	Entitlement <i>(Please choose one option only)</i>	Service Options	<input type="checkbox"/> Full Functions	Requested Daily Transfer Limit for non-registered HSBC Third Party Fund Transfers HKD _____	<input type="checkbox"/> Full Enquiry Functions and Selected Phonebanking Services	<input type="checkbox"/> All Self-named and Future Accounts Fund Transfers (Requested Daily Transfer Limit HKD _____)	<input type="checkbox"/> Investment Trading Services	<input type="checkbox"/> Enquiry Function Only	<input type="checkbox"/> Non-registered HSBC Third Party Fund Transfers (Requested Daily Transfer Limit HKD _____)	
Entitlement <i>(Please choose one option only)</i>	Service Options										
<input type="checkbox"/> Full Functions	Requested Daily Transfer Limit for non-registered HSBC Third Party Fund Transfers HKD _____										
<input type="checkbox"/> Full Enquiry Functions and Selected Phonebanking Services	<input type="checkbox"/> All Self-named and Future Accounts Fund Transfers (Requested Daily Transfer Limit HKD _____)										
	<input type="checkbox"/> Investment Trading Services										
<input type="checkbox"/> Enquiry Function Only	<input type="checkbox"/> Non-registered HSBC Third Party Fund Transfers (Requested Daily Transfer Limit HKD _____)										

BBF001R35E-m (280708) FI

VII. Note for Phonebanking/Business Internet Banking/BusinessVantage ATM Card/ Company ATM Card Application

(Applicable to all Services)

1. A BusinessVantage ATM Card/Phonebanking PIN Collection Advice will be sent to you separately if the aforementioned is/are not provided upon submission of this form at branch.

(Applicable to BusinessVantage ATM Card)

2. Third account of the BusinessVantage ATM Card must be an account with the same business identification as the Account Holder's records held by the Bank.

(Applicable to Company ATM Card)

3. Third account of the Company ATM Card must be an account with the same business identification as the Account Holder's records held by the Bank.
4. If cardholder is not an authorised signatory, please provide identification document copy.

(Applicable to Business Internet Banking)

5. The Primary User must be the account mandate signatory of one or more of the company's accounts and has the overall administration and control rights of Business Internet Banking.
6. To access Business Internet Banking for the first time, each Primary User needs an activation PIN and a Security Device.
7. The daily transaction limit will apply to all registered accounts except Loans, Import/Export, Investment, Time Deposits, Business Card and MPF Service. Please note that the total daily transaction amount of all accounts cannot exceed the daily transaction limits defined in Section III.

VIII. Declaration

1. I/We confirm that the information given in this form is correct and complete, and authorise the Bank to confirm this from any source you may choose.
2. I/We have read and understand the BusinessVantage Account General Terms and Conditions, and agree to be governed by them.
3. For Limited Company
I/We confirm that the company has not been, or is not in the process of being, dissolved, struck off, wound-up or terminated.
4. I/We hereby consent to the Bank providing, to any person providing security for any facility granted to me/us by the Bank under this BusinessVantage Account (a Surety), a copy of the proposed facility agreement including full details of the security to be provided, a copy of any formal demand sent to me/us and, at the request of the Surety, a copy of the latest statement of account provided to me/us.
5. I/We understand that the Bank may, at its sole and absolute discretion, refuse to allow drawings under the BusinessVantage Secured Credit if the transaction in question does not meet the Bank's operational and documentary requirements.
6. For BusinessVantage Investment account customers
 - a. I/We confirm that I/we am/are acting as principal(s) in relation to the BusinessVantage Investment Account.
 - b. I/We hereby confirm that the risk disclosure statements have been provided in the language of my/our choice (English or Chinese); and I/we have been invited to read the risk disclosure statements, to ask questions and to take independent advice if I/we wish.
 - c. For Sole Proprietorship or Partnership
I/We hereby confirm that I/we am/are not a resident of the United States of America ("US"), Canada ("CN") and/or South Korea ("KR"), whether for the US, CN and/or KR securities or tax laws or for any other purposes. I/We also confirm that I/we am/are not acting as agent on behalf of any US, CN, and/or KR resident/citizen. I/We confirm that my/our registered, correspondent and/or business address(es) is/are not in the US, CN or KR. I/We undertake to immediately notify the Bank should I/we become or be deemed to be resident of the US, CN and/or KR in any future time.
I/We declare that I/we am/are not currently employed by any licensed or registered person to carry on regulated activities as defined in the Securities and Futures Ordinance ("Ordinance"). Otherwise, I/we understand that I/we am/are required to provide to the Bank written consent(s) from my/our employer(s) before I/we can open and operate this BusinessVantage Investment Account. I/We undertake to promptly notify the Bank if I/we become or cease to be employed by any licensed or registered person to carry on regulated activities.
Note: A licensed person means a licensed corporation licensed under the Ordinance. A registered person means a registered institution registered under the Ordinance.
I/We undertake to supply the Bank with certified copies of any replacement or supporting documents which I/we have provided to the Bank for the purposes of opening an account with the Bank immediately upon occurrence of any changes thereto.
 - d. For Limited Company
We hereby confirm that the Company is not a company incorporated in the United States of America ("US"), Canada ("CN") and/or South Korea ("KR") and its registered, correspondent and/or business address(es) is/are not in the US, CN and/or KR. We confirm that all persons authorised to give instructions in relation to the Company's account(s) held with the Bank are not resident in the US and/or CN whether for tax, securities laws of the US and/or CN or any other purposes. We undertake to notify the Bank immediately if any such person(s) of the Company becomes or is deemed to be resident of the US and/or CN at any future time. We also confirm that we are not acting as agent on behalf of any US, CN and/or KR resident/citizen.
We undertake to supply the Bank with certified copies of any replacement or supporting documents which we have provided to the Bank for the purposes of opening an account with the Bank immediately upon occurrence of any changes thereto.
7. (Applicable only in case of Insurance Application)
I/We understand that, upon my/our insurance application (either in writing or verbally by our account Authorised Signatories or Authorised Phonebanking User, the first year's premium will be collected when I/we submit this application and I/we authorise the Bank to make all premiums due or payable under the respective insurance policy as shall be instructed by HSBC Insurance (Asia) Limited / HSBC Life (International) Limited from time to time from my/our BusinessVantage Account.
8. (Applicable only in case of Company Deposit Card Application)
I/We hereby authorise the person(s) holding and presenting to the Bank the card collection advice issued by the Bank in respect of the Company Deposit Cards to collect the same for and on our behalf irrespective of whether the said person(s) is/are the Cardholders named in this Application Form.
9. I/We acknowledge that I/we understand that Structured Investment Deposits (unless otherwise stated in the relevant Appendix to any related terms and conditions), Deposit Plus, HSBC's Certificates of Deposits, and any deposit charged to the Bank as security for banking facilities are not protected deposits and are not protected by the Hong Kong Deposit Protection Scheme.

BUSINESSVANTAGE ACCOUNT SIGNATURE

Account Name	
Name in Chinese	Date Account Opened

Authorised Signature(s)

I/We hereby sign below to signify my/our agreement to the Declaration above the signature(s) of which I/we agree shall also serve as my/our specimen signature(s) for the BusinessVantage Account to be held in the Bank's record.

<p>1. Full Name</p>	<input type="checkbox"/> Sole Proprietor <input type="checkbox"/> Partner <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory
<p>Identification Document Type</p> <input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Passport <input type="checkbox"/> HK BRC <input type="checkbox"/> HK C/I <input type="checkbox"/> Other:	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
<p>Identification Document Number</p>	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
<p>Complete and tick confirming documents of proof enclosed (for individuals only)</p> <input type="checkbox"/> Former Name/Alias _____ <input type="checkbox"/> Nationality _____ <input type="checkbox"/> Residential Address _____ <input type="checkbox"/> Permanent Address (if different from residential address) _____	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
	<p>Signing Group:</p>
<p>2. Full Name</p>	<input type="checkbox"/> Partner <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory
<p>Identification Document Type</p> <input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Passport <input type="checkbox"/> HK BRC <input type="checkbox"/> HK C/I <input type="checkbox"/> Other:	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
<p>Identification Document Number</p>	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
<p>Complete and tick confirming documents of proof enclosed (for individuals only)</p> <input type="checkbox"/> Former Name/Alias _____ <input type="checkbox"/> Nationality _____ <input type="checkbox"/> Residential Address _____ <input type="checkbox"/> Permanent Address (if different from residential address) _____	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
	<p>Signing Group:</p>
<p>3. Full Name</p>	<input type="checkbox"/> Partner <input type="checkbox"/> Director <input type="checkbox"/> Authorised Signatory
<p>Identification Document Type</p> <input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Passport <input type="checkbox"/> HK BRC <input type="checkbox"/> HK C/I <input type="checkbox"/> Other:	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
<p>Identification Document Number</p>	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
<p>Complete and tick confirming documents of proof enclosed (for individuals only)</p> <input type="checkbox"/> Former Name/Alias _____ <input type="checkbox"/> Nationality _____ <input type="checkbox"/> Residential Address _____ <input type="checkbox"/> Permanent Address (if different from residential address) _____	<p>Signature</p> <div style="border: 1px solid black; height: 40px; width: 100%;"></div>
	<p>Signing Group:</p>

Signing Instruction

For Bank Use Only
Branch Chop and Authorised Signature
Full Name of Signatory :

Director(s)/Partner(s) Who is/are Not Authorised to Operate The Account

1.	Full Name		
	Identification Document Type	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Passport <input type="checkbox"/> HK BRC <input type="checkbox"/> HK C/I <input type="checkbox"/> Other:	Identification Document Number
	Customer Type	<input type="checkbox"/> Partner <input type="checkbox"/> Director	Signature
	Complete and tick confirming documents of proof enclosed (for individuals only)	<input type="checkbox"/> Former Name/Alias _____ <input type="checkbox"/> Nationality _____ <input type="checkbox"/> Residential Address _____ <input type="checkbox"/> Permanent Address (if different from residential address) _____	X
2.	Full Name		
	Identification Document Type	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Passport <input type="checkbox"/> HK BRC <input type="checkbox"/> HK C/I <input type="checkbox"/> Other:	Identification Document Number
	Customer Type	<input type="checkbox"/> Partner <input type="checkbox"/> Director	Signature
	Complete and tick confirming documents of proof enclosed (for individuals only)	<input type="checkbox"/> Former Name/Alias _____ <input type="checkbox"/> Nationality _____ <input type="checkbox"/> Residential Address _____ <input type="checkbox"/> Permanent Address (if different from residential address) _____	X

* Principal Shareholders / Beneficial Owners Information

Note	* All individuals who are ultimately entitled to exercise or control the exercise of 10% or more of the voting rights of the company, either directly or indirectly through their beneficial ownership of an underlying corporate shareholder, should be regarded as Principal Shareholders / Beneficial Owners of the company.		
1.	Full Name		
	Identification Document Type	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Passport <input type="checkbox"/> Other:	Identification Document Number
	Customer Type	<input type="checkbox"/> Principal Shareholder <input type="checkbox"/> Beneficial Owner	Ownership (%)
	Complete and tick confirming documents of proof enclosed (for individuals only)	<input type="checkbox"/> Former Name/Alias _____ <input type="checkbox"/> Nationality _____ <input type="checkbox"/> Residential Address _____ <input type="checkbox"/> Permanent Address (if different from residential address) _____	
2.	Full Name		
	Identification Document Type	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Passport <input type="checkbox"/> Other:	Identification Document Number
	Customer Type	<input type="checkbox"/> Principal Shareholder <input type="checkbox"/> Beneficial Owner	Ownership (%)
	Complete and tick confirming documents of proof enclosed (for individuals only)	<input type="checkbox"/> Former Name/Alias _____ <input type="checkbox"/> Nationality _____ <input type="checkbox"/> Residential Address _____ <input type="checkbox"/> Permanent Address (if different from residential address) _____	
3.	Full Name		
	Identification Document Type	<input type="checkbox"/> HK Identity Card (Permanent <input type="checkbox"/> Yes <input type="checkbox"/> No) <input type="checkbox"/> Passport <input type="checkbox"/> Other:	Identification Document Number
	Customer Type	<input type="checkbox"/> Principal Shareholder <input type="checkbox"/> Beneficial Owner	Ownership (%)
	Complete and tick confirming documents of proof enclosed (for individuals only)	<input type="checkbox"/> Former Name/Alias _____ <input type="checkbox"/> Nationality _____ <input type="checkbox"/> Residential Address _____ <input type="checkbox"/> Permanent Address (if different from residential address) _____	

CERTIFICATE OF DUE AUTHORISATION (For Limited Company Customers)

- Note:** 1. By completing this Certificate of Due Authorisation, there is no need to submit separate Mandate or Board Resolution.
 2. * Please delete whichever is not appropriate.
 3. Please complete in **Block Letters** and tick where applicable.
 4. All alterations to this form must be initialled by the Chairman of Meeting.
 5. # Registered Address - HK incorporated companies / Business Address - foreign incorporated companies with place of business in Hong Kong.

I HEREBY CERTIFY that the following resolutions were passed at a meeting of the Board of Directors of
 (the "Company") of #
 on (insert date) whereas:

Account Opening and General Banking Facilities

- (a) The Company wishes to open a BusinessVantage Account with The Hongkong and Shanghai Banking Corporation Limited (the "Bank") subject to the Bank's BusinessVantage Account General Terms and Conditions (the "Terms and Conditions").
- (b) As part of the Bank's account opening procedures, certified true copies of the Company's corporate documents and list of authorised signatories (together with their specimen signatures) will need to be produced to the Bank.
- (c) The Company wishes to apply for general banking/trade financing facilities with the Bank. As a condition precedent to the Bank granting general banking/trade financing facilities, the Company will be required to execute the following documents:
 - (i) Counter-Indemnity;
 - (ii) Trade Financing General Agreement;
 - (iii) Memorandum of Deposit;
 - (iv) Letter of Trust;
 - (v) Mortgage;
 - (vi) Hypothecation and Pledge; and
 - (vii) such ancillary documentation and/or other documentation including any form of indemnity, guarantee, counter-indemnity and undertaking.
 in the Bank's standard forms as security for such general banking/trade financing facilities granted to the Company (collectively, the General Banking Facility Security Documents).

*Phonebanking/BusinessVantage ATM Cards/Company Deposit Cards/Company ATM Cards

- (a) The Company wishes to use *Phonebanking/BusinessVantage ATM Cards/Company Deposit Cards/Company ATM Cards from the Bank subject to the Terms and Conditions.
- (b) The Company intends to authorise its officers, employees and/or agents to use the *Phonebanking/BusinessVantage ATM Cards/Company Deposit Cards/Company ATM Cards.
- (c) The Company will instruct the Bank to amend the daily withdrawal limit assigned to each Company ATM Card.

BusinessVantage Secured Credit

The Company wishes to apply for BusinessVantage Secured Credit Facilities (the "Secured Credit Facilities") from the Bank subject to the Terms and Conditions. As a condition of the Company utilizing the Secured Credit Facilities, the Company will be required to execute the following documents:

- (i) Charge Over Accounts;
- (ii) Counter-Indemnity (for issuance of bank guarantee or standby letter of credit);
- (iii) Trade Financing General Agreement (for trade financing facilities); and
- (iv) such ancillary documentation relating thereto.

[the "Secured Credit Security Document(s)"]

in favour of the Bank in the Bank's standard forms thereby charging to the Bank all monies at any time standing to the credit of the Company and Wayfoong Gold accounts held with the Bank / indemnifying the Bank in respect of any monies claimed pursuant to the Guarantee (as defined in the Counter-Indemnity) / Standby Letter of Credit (as defined in the Counter-Indemnity) / pledging, assigning, charging to the Bank by way of security the Documents (as defined in the Trade Financing General Agreement). There was/were produced to the meeting copy(ies) of the Secured Credit Security Document(s) relating thereto.

Business Internet Banking

- (a) The Company shall subscribe for Business Internet Banking offered by the Bank subject to the Terms and Conditions.
- (b) The Company intends to authorise its officers, employees and/or agents to use Business Internet Banking.

IT IS RESOLVED THAT:

Account Opening and General Banking Facilities

- 1. The Company opens a BusinessVantage Account with the Bank and any account or accounts as may be subsequently directed by any Directors of the Company or by (the "Authorised Signatories") and the Terms and Conditions in the form tabled at the Meeting be and are hereby approved; that any Directors or any Authorised Signatories be authorised to complete and sign the account opening forms (and any documents incidental or relating thereto) for the BusinessVantage Account and any subsequently opened account on behalf of the Company and to agree any amendments to such forms (or documents) from time to time on behalf of the Company.
- 2. The Bank be instructed to honour, comply with, act on or accept all cheques, promissory notes, orders, bills or receipts given or accepted on behalf of the Company (as shall be applicable), whether the current account be in credit or overdrawn and to comply with all directions given for or in respect of any account or accounts of any kind whatsoever on behalf of the Company including without limitation to close such account(s) provided that such cheques, promissory notes, orders, bills, receipts or directions are given in such form or manner or by such means as shall be acceptable to the Bank at any time and from time to time by any Director(s) or by any Authorised Signatories.

3. That any Director(s) or by any Authorised Signatories be authorised to withdraw and deal with any of the Company's securities or property or documents of titles thereto which may be deposited with the Bank for safe custody or in safe deposit from time to time, whether by way of security or otherwise.
4. That the Company applies for such general banking/trade financing facilities from the Bank as the Directors think fit and in respect of such facilities, any Director(s) or by any Authorised Signatories be authorised to arrange with the Bank for advances to the Company by way of discount, loan, overdraft or otherwise, and for the granting of trade finance facilities, foreign exchange facilities, credits and the issue of guarantees by the Bank from time to time as required, and to execute on behalf of the Company [including, if applicable, the affixing of the common seal (or equivalent) of the Company] any form of deposit and withdrawal, any one or more of the General Banking Facility Security Document(s) and any other documents therewith, including any other form of security which may be required by the Bank in connection with the aforesaid facilities (including without limitation to sign any Trade Finance General Agreement and to designate any authorised persons thereunder).
5. That Director(s) or any Authorised Signatories or Authorised Phonebanking User(s) be authorised to for and on behalf of the Company apply for and take up, via the Bank, insurance products, the Insured person(s) being either the Company itself or any other third parties, with HSBC Insurance (Asia) Limited/HSBC Life (International) Limited and to sign all documents, accept such terms and do all such other things in connection with or incidental to such insurance policies.
6. That in the absence of any directions to the contrary, all accounts subsequently opened shall be operated and dealt with upon the terms set out above insofar as the same may be applicable.
7. That upon a request being made by the Bank it be supplied with a copy of the Company's Memorandum and Articles of Association, together with copies with any amending resolutions and a copy of the Company's Certificate of Incorporation and/or Certificate to Commence Business.
8. That the Bank be supplied with the list of Directors and Authorised Signatories of the Company and the specimen signatures of the Directors and any other person or persons authorised to sign on behalf of the Company, and be informed from time to time by a completed Certificate of Due Authorisation form or by a certified true copy of the resolutions of the Board of Directors of the Company of any changes which may take place therein, and be entitled to act upon the receipt of a further completed Certificate of Due Authorisation or certified true copy of board resolutions as aforesaid.
9. That a copy of any resolution of the Board of Directors of the Company, if purporting to be certified as correct by a Director of the Company or by the Company Secretary, shall as between the Bank and the Company be conclusive evidence of the passing of the resolution so certified.

*Phonebanking/BusinessVantage ATM Cards/Company Deposit Cards/Company ATM Cards

1. The Company shall apply for the *Phonebanking/BusinessVantage ATM Cards/Company Deposit Cards/Company ATM Cards under this account, which shall be the Primary Account;
2. The Company hereby nominates the individuals whose particulars are set out in the BusinessVantage Account Opening Form and all supplementary forms thereto, if any, (collectively the 'Forms') to be Authorised Phonebanking Users / Authorised Cardholders and designates the accounts the particulars of which are set out in the Forms to be the Debit Accounts and Credit Accounts for each of such Authorised PhoneBanking Users / the Third Accounts for each of such BusinessVantage ATM Cards/Company Deposit Cards/Company ATM Cards subject to any applicable transfer limits, in each case as indicated in the Forms, if applicable.

BusinessVantage Secured Credit

1. The Company's application for the Secured Credit Facilities be approved;
2. the terms of the Secured Credit Security Document(s) in the form produced to the meeting be and are hereby approved; and
3. the Company should issue the Secured Credit Security Document(s) in favour of the Bank and that, where applicable, any Director(s) or any Authorised Signatories be authorised to execute and where applicable, affix the Common Seal of the Company to such Secured Credit Security Document(s).

Business Internet Banking

1. The Company shall apply for Business Internet Banking under this BusinessVantage Account;
 2. The Company hereby nominates the individual(s) whose particulars are set out in the Forms to be the Primary Users for all purposes of Business Internet Banking herein subscribed for.
10. All the terms set out and all particulars completed in the Form together with the Terms and Conditions, all in the form tabled at the Meeting, be and are hereby approved and accepted in all respects.
11. Details of this resolution be communicated to the Bank and remain in force until an amending resolution shall have been passed by the Company's Board of Directors and a certified copy thereof shall have been received by the Bank. And details of the resolution have been entered into the Minute Book of the Company and signed therein by the Chairman of the Meeting and are in accordance with the Company's Memorandum and Articles of Association or equivalent constitutional documents.
12. Unless otherwise defined, expressions used in this certificate have the same meaning as the corresponding expressions in the Terms and Conditions.

Dated at the Hong Kong Special Administrative Region this day of .

Directors Authorised to Sign as Above

1. Full Name
2. Full Name
3. Full Name

Other Persons Authorised to Sign as Above

1. Full Name	Capacity
2. Full Name	Capacity
3. Full Name	Capacity

Other Director(s) who is/are not authorised to operate the account(s)

1. Full Name
2. Full Name
3. Full Name
4. Full Name

X ✚ Chairman of the Meeting	Full Name (in Block Letters) :
---------------------------------------	--------------------------------

Note: ✚ Where the Company has 2 or more directors but only 1 director is authorised to operate this BusinessVantage account, the person who acted as the Chairman of the abovesaid meeting and hence signing here should be another director of the Company.

BBF001R35E-m (280708) FI

For Bank Use Only

Staff Declaration *(Applicable only in case of Investment Services Application)*

I hereby declare that

- the risk disclosure statements in the language of the customer's choice (English or Chinese) have been provided to the customer(s); and the customer(s) has/have been invited to read the risk disclosure statements, ask questions and to take independent advice if the customer(s) so wishes/wish.
- I have already explained to the customer(s) that if he/she is or they are currently employed by any registered or licensed person to carry on regulated activities, the customer(s) is/are required to provide to the Bank a written consent(s) from his/her/their employer(s) in support of this investment services application.

Written Consent Received Yes

(For partnership account, specify individual partner's name.)

Signature ▲

HKMA Registration Number :

Name of Staff *(in Block Letters)*:

Screen A002

IMIS Customer Flag

Add Controlling Party Information in CUS *(Applicable to all accounts maintained under the same ID)*

Controlling Centre Code

Relationship Manager Code

Credit Division

Screen H001

Short Name

Package Group

Controlling Centre Code

Relationship Manager Code

Credit Division

Statement Option C

Statement Frequency

Commencing Date

CUA Secured OD Option

Secured OD Ceiling Limit

Mailing Name

Screen 015

BBP ATM Card Issue No. Pre-opened

1 Yes *(Change)*
 Cancel *(Delete)*

2 Yes *(Change)*
 Cancel *(Delete)*

Screen J002

Phonebanking User Pre-opened

1 Yes *(Change)*
 Cancel *(Delete)*

2 Yes *(Change)*
 Cancel *(Delete)*

BIB Primary User

1 Yes *(Add)* (Screen A511)
 Cancel PIN Ref below *(Delete)*

2 Yes *(Add)* (Screen A511)
 Cancel PIN Ref below *(Delete)*

Please refer to related section(s) of this Account Opening Form to perform maintenance if (1) there is no ✓ in the above box(es) or (2) there are BBP ATM Cards or Phonebanking users not specified above.

Deposit Protection Scheme - Add Indicator

Trust Client

Add TMD Customer Information *(Screen J81)*

Added by CIB / IBH / CMB / Branch

Referral Partner Information

QI *(Screen C001 / Add A94)*
QI Reference Number:

Non-QI
Referrer Company Name:

Referrer Company Type: CPA Firm Secretarial Firm Law Firm

Other *(please specify)*:

Screen S111 *(for Investment Services Only)*

Customer Type: IPS
 Other *(please specify)*:

BLG Account Level Fee Maintenance *(via screen E101)*

Charge Item

Override Price Table	Price Table No.	Start Date (MMYY)	End Date (MMYY)
1	<input type="text"/>	<input type="text"/>	<input type="text"/>
2	<input type="text"/>	<input type="text"/>	<input type="text"/>

Screen S121 *(for Investment Services Only)*

Account Type: **BBP**

For Bank Use Only (Continued)

Others [Please specify special Maintenance required (e.g. Debit Interest Statement Option) if any, together with appropriate Screen/Code]

Overseas Company Account Charge <input type="checkbox"/> Collected <input type="checkbox"/> HKD to be collected from <input type="checkbox"/> BBP SAV <input type="checkbox"/> BBP CUA Initial:		CDS (K072, K073) Checked Initial: Approval from BM/MD/DIR/SVP/ VP/SRM/RM/MLCO Required <input type="checkbox"/> CDS <input type="checkbox"/> CRRT <input type="checkbox"/> SCC <input type="checkbox"/> Boiler Room		KYC Approach <input type="checkbox"/> High <input type="checkbox"/> Normal <input type="checkbox"/> Low KYC Completion Date D D M M Y Y Y Y			
Data Prepared by (Name and Initial)		Application Recommended by		Application Approved by (if applicable) (Name and Authorised Signature)		Screen H002 Approved (Authoriser Initial) (if applicable)	
Submission Branch/Department <input type="checkbox"/> SME Centre <input type="checkbox"/> SME Satellite <input type="checkbox"/> SME CG <input type="checkbox"/> SME RM <input type="checkbox"/> Branch <input type="checkbox"/> MME <input type="checkbox"/> CIB <input type="checkbox"/> IBH <input type="checkbox"/> Other (please specify) : _____				Branch/Department Chop			

BBP001R35E-m (280708) FI